



STATE OF NEW YORK DEPARTMENT OF HEALTH

161 Delaware Avenue, Delmar, NY 12054-1393

Antonia C. Novello, M.D., M.P.H., Dr. P.H.
Commissioner of Health

Dennis P. Whalen
Executive Deputy Commissioner

July 6, 2005

DQS/DAL #05-07
PASRR Requirements

Dear Administrator:

The purpose of this letter is to remind facilities of the importance of screening individuals with a mental disability such as mental illness, mental retardation or developmental disabilities who reside in, or are seeking admission to, a residential nursing facility. The federal Pre-Admission Screening and Resident Review (PASRR) requirements are set forth in statute at 42 USC 1396r (e) and in regulations at 42 CFR 483.100-138. An explanation of these requirements was last issued by the Department on February 24, 2003 (DAL 03-03).

Nursing facilities must assure that **all** persons seeking admission to its facility, with limited exceptions¹, are screened to determine whether they have a mental disability (Level I Screen). If the applicant appears to have such a disability, the facility must assure that the appropriate State PASRR agency is contacted to conduct a further assessment of the person.

Federal law prohibits nursing facilities from admitting persons with mental disabilities unless the appropriate State PASRR agency determines whether the person requires the level of services provided in a nursing facility and whether the applicant requires "specialized services" or "services of a lesser intensity" to address his or her mental disability (Level II Screen). No individual, unless he/she meets a limited exception, may be admitted to a Nursing Home unless the PASRR process has been completed. The referring provider must complete the Level I Screen, contact the appropriate state agency, and receive the agency determination for placement, prior to discharge to a nursing facility.

In addition, nursing facilities must promptly notify the appropriate PASRR agency when a resident with a mental disability experiences a "significant change" in his or her physical or mental condition that has a bearing on the need for specialized services. This also applies to current residents who are newly diagnosed with a mental illness, mental retardation or a developmental disability. Guidelines for determining a significant change are noted on pages 2-7, 2-8, 2-9 and 2-10 of the CMS RAI Manual Version 2.0 (December 2002). "Promptly" means that the facility must complete a comprehensive assessment and notify the appropriate mental hygiene agency within 14 days of identifying a significant change that has a bearing on the resident's need for specialized services.

¹ The only applicants exempt from PASRR screening are:

- (1) Exempted hospital discharge – individuals admitted directly from a hospital after receiving acute inpatient care, who require NF services for the condition for which he or she received care in the hospital, **and** whose attending physician has certified that the individual is likely to require less than 30 days of NF care. NOTE: If such individual is later found to require more than 30 days of NF care, then the nursing facility must assure that PASRR Level I and II screens are conducted within 40 days of admission.
- (2) Readmissions – individuals readmitted to a NF from a hospital to which he or she was transferred (from the NF) for the purpose of receiving care.
- (3) Interfacility transfers – individuals transferred from one NF to another NF, with or without an intervening hospital stay. The transferring NF must assure that the most current PASRR results accompany the individual to the hospital or to the new NF.

Note that Medicaid funding is not available when PASRR screenings have not been conducted or where the person is admitted despite a Level II screen that determines he or she is not appropriate for nursing facility level of care.

Island Peer Review Organization (IPRO) has been awarded the New York State Department of Health contract to conduct the PASRR assessments for individuals with mental illness seeking nursing home placement and residents with mental illness who experience a significant change in their physical or mental status. Level II referrals for such persons should be made to IPRO's Psychiatric Review Services Department at (800) 633-9441 or (516) 326-2110.

Likewise, the Office of Mental Retardation and Developmental Disabilities (OMRDD) is responsible for conducting PASRR Level II Screens for nursing facility applicants and residents with mental retardation or developmental disabilities. Each local OMRDD Developmental Disabilities Service Office (DDSO) conducts its own PASRR reviews. Referrals of persons with mental retardation or developmental disabilities should be directed to the local DDSO PASRR Coordinator listed in Attachment 1. Moreover, those offices should be notified upon the death, discharge, transfer or readmission of any resident with mental retardation or a developmental disability. Please contact OMRDD at (518) 473-6255 with any questions.

The Department of Health will continue to review compliance with the PASRR requirements to ensure that appropriate assessments are being conducted and that necessary services are being delivered. Non-compliance with the PASRR requirements may result in recoupment of Medicaid funds.

Reporting this information will help ensure that nursing facility residents with mental illness, mental retardation or developmental disabilities receive the appropriate services they need. Thank you for your continued cooperation and commitment to providing quality care to your residents.

Sincerely,



Keith W. Servis, Director
Division of Quality and Surveillance
for Nursing Homes and ICFs/MR

Attachment

New York State Office of Mental Retardation and Developmental Disabilities

DDSO NURSING FACILITY PRE-ADMISSION SCREEN AND RESIDENT REVIEW (PASRR) COORDINATORS

<p align="center"><u>BERNARD FINESON DDSO</u> <i>Queens</i></p> <p>Shiny Sajan, R.N. P.O. Box 280507, Building 12 Queens Village, NY 11428-0507 (718) 217-5685 Beeper: (917) 321-1128 Fax: (718) 217-4009</p>	<p align="center"><u>FINGER LAKES DDSO</u> <i>Chemung, Livingston, Schuyler, Steuben and Wyoming</i></p> <p>William Schaaf, R.N. 3 Park Street Geneseo, NY 14454 (585) 243-6566 Fax: (585) 243-6401</p> <p><u>Newark Office</u> <i>Monroe, Ontario, Seneca, Wayne, Yates</i></p> <p>Kathleen C. Dillon 620 Westfall Road, Suite 101 Rochester, NY 14620 (585) 241-5741 Fax (585) 241-5733</p>	<p align="center"><u>SUNMOUNT DDSO</u> <i>Clinton, Essex, Franklin, Hamilton, Jefferson and St. Lawrence</i></p> <p>Patricia A. Bedore, R.N. 2445 State Rt. 30 Tupper Lake, NY 12986 (518) 359-3311 Fax: (518) 359-4132</p>
<p align="center"><u>BROOKLYN DDSO</u> <i>Kings</i></p> <p>Ellen Marshall, R.N. 888 Fountain Avenue Bldg. 1, Wing 121 Brooklyn, NY 11208 (718) 642-8624 Fax: (718) 642-8683</p>	<p align="center"><u>HUDSON VALLEY DDSO</u> <i>Orange, Rockland, Sullivan & Westchester</i></p> <p>Richard Gordon, M.S.W. Sullivan Co. Office P.O. Box 823, Main Street So. Fallsburg, NY 12779 (845) 434-3190 Fax: (845) 434-3141</p>	<p align="center"><u>TACONIC DDSO</u> <i>Columbia, Dutchess, Greene, Putnam and Ulster</i></p> <p>Sue Dean, R.N. 38 Firemen's Way Poughkeepsie, NY 12603 (845) 473-5050, ext. 108 Fax: (845) 473-7198</p>
<p align="center"><u>BROOME DDSO</u> <i>Broome, Chenango, Delaware, Otsego, Tioga, and Tompkins</i></p> <p>Linda J. Smith, R.N. Broome Developmental Center 249 Glenwood Road Binghamton, NY 13905 (607) 770-0255 Fax: (607) 770-8037</p>	<p align="center"><u>LONG ISLAND DDSO</u> <i>Nassau, Suffolk</i></p> <p>Patricia Weber, B.A. 45 Mall Drive Commack, NY 11725 (631) 493-1819 Fax: (631) 543-7216</p>	<p align="center"><u>WESTERN NY DDSO</u> <i>Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara and Orleans</i></p> <p>Nancy Doyle, S.W. 1200 East & West Road West Seneca, NY 14224 (716) 517-2165 Fax: (716) 674-7488</p>
<p align="center"><u>CAPITAL DISTRICT DDSO</u> <i>Albany, Fulton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Warren and Washington</i></p> <p>Julie Gorman 500 Balltown Road Schenectady, NY 12304 (518) 370-7339 Beeper: (518) 748-6029 Fax: (518) 370-7368</p>	<p align="center"><u>METRO NEW YORK DDSO</u> <i>Bronx and New York</i></p> <p><u>Bronx / Manhattan Office</u> Stanley Lemel, R.N. Southern Blvd. Day Treatment Program 1527 Southern Blvd. Bronx, NY 10460 (718) 893-0729 or (718) 893-1519 Fax: (718) 893-0707</p>	<p align="center"><u>NYS OMRDD – Central Office</u></p> <p>Sharon Bradbury, M.S.P.A. Upstate Regional Office 44 Holland Avenue Albany, NY 12229 (518) 473-6255 Fax: (518) 473-9695</p>
<p align="center"><u>CENTRAL NEW YORK DDSO</u> <i>Cayuga, Cortland, Herkimer, Lewis, Madison, Oneida, Onondaga and Oswego</i></p> <p>Tom Ayotte, M.S.W. P.O. Box 550 Rome, NY 13440 (315) 336-2300 ext. 433 Fax: (315) 339-5456</p>	<p align="center"><u>STATEN ISLAND DDSO</u> <i>Richmond</i></p> <p>Monica Ennis, R.N. 1150 Forest Hill Road, Building 12 G Staten Island, NY 10314 (718) 982-1911 (718) 982-1909</p>	<p align="right">July 12, 2005</p>